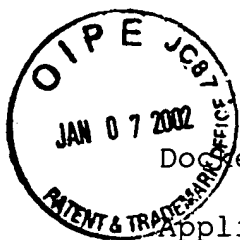


Receipt



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Document No. 9391.10

Application of

Paul H. Phibbs, Jr.

Serial No. 09/943,060

Group Art Unit: 2164

Filed: August 30, 2001

Examiner: Unknown

For: **CAPITAL ALLOCATION IN A NET INTEREST REVENUE
IMPLEMENTATION FOR FINANCIAL PROCESSING IN A RELATIONAL
DATABASE MANAGEMENT SYSTEM**

Assistant Commissioner
for Patents

Washington, D.C. 20231

**CERTIFICATE OF MAILING
(37 CFR 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

10-24-01
Date

Sallie Spicer
Sallie Spicer

Attn: Application Processing Division
Customer Correction Branch

Sir:

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JAN 16 2002
Technology Center 2100

REQUEST FOR CORRECTED FILING RECEIPT

Please be advised that there is an error on the filing receipt for the above patent application with respect to the following data, which is incorrectly entered:

Title

CAPITAL ALLOCATION IN
A NET INTREREST REVENUE
IMPLEMENTATION FOR
FINANCIAL PROCESSING IN
A RELATIONAL DATABASE
MANAGEMENT SYSTEM

Correct Title

CAPITAL ALLOCATION IN
A NET INTEREST REVENUE
IMPLEMENTATION FOR
FINANCIAL PROCESSING IN
A RELATIONAL DATABASE
MANAGEMENT SYSTEM

We have enclosed a copy of the official filing receipt from the Patent and Trademark Office in the above application. We have also enclosed a copy of the original Patent Application Transmittal which includes the date this application was mailed by certification under 37 CFR 1.10 to the Patent and Trademark Office.

Issuance of a corrected filing receipt is respectfully requested.

Please charge any fee associated with the filing of this Request or any additional fees due to the account of NCR Corporation, Deposit Account No. 50-1673.

Respectfully submitted,



Attorney for Applicant(s)

James M. Stover

Reg. No. 32,759

(937) 445-7663

Dayton, Ohio



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/943,060	08/30/2001	2164	710	9391	4	15	3

CONFIRMATION NO. 8739

FILING RECEIPT



000000006872459

James M. Stover
NCR Corporation Department P-164V
1700 S. Patterson Blvd.
Dayton, OH 45479



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OCT 15 2001
LAW DEPARTMENT

Date Mailed: 10/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Paul H. Phibbs JR., Escondido, CA;

Assignment For Published Patent Application

NCR Corporation;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/253,254 11/27/2000
AND CLAIMS BENEFIT OF 60/253,281 11/27/2000
AND A CIP OF 09/608,355 06/29/2000
WHICH IS A CIP OF 09/610,646 06/29/2000

Foreign Applications

If Required, Foreign Filing License Granted 10/09/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

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JAN 16 2002

Technology Center 2100

Capital allocation in a net interest revenue implementation for financial processing in a relational database management system

Preliminary Class

705

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Bib Data Sheet

CONFIRMATION NO. 8739

SERIAL NUMBER 09/943,060	FILING DATE 08/30/2001 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. 9391
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APPLICANTS
Paul H. Phibbs JR., Escondido, CA;

**** CONTINUING DATA *******
THIS APPLN CLAIMS BENEFIT OF 60/253,254 11/27/2000
AND CLAIMS BENEFIT OF 60/253,281 11/27/2000
AND A CIP OF 09/608,355 06/29/2000
WHICH IS A CIP OF 09/610,646 06/29/2000

**** FOREIGN APPLICATIONS *******

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** 10/09/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 4	TOTAL CLAIMS 15	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

ADDRESS
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1700 S. Patterson Blvd.
Dayton ,OH 45479

TITLE
Capital allocation in a net interest revenue implementation for financial processing in a relational database management system

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		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit